

Dewberry Engineers Inc. 813.225.1325 1000 North Ashley Drive, Suite 801 Tampa, FL 33602 www.dewberry.com

CA SaltonSea EarthMRI 2021 D21- WUID300258

Report Produced for U.S. Geological Survey

USGS Contract: 140G0221D0009

Task Order: 140G0221F0268

Report Date: September 16, 2023

SUBMITTED BY: Dewberry 1000 North Ashley Drive Suite 801 Tampa, FL 33602 813.225.1325

SUBMITTED TO: **U.S. Geological Survey** tnm_help@usgs.gov

9/16/2023

TABLE OF CONTENTS

Atta	Attachments1				
1.	Executive Summary2				
	1.1 1.2 1.3 1.4 1.5	Project Team Project Area Coordinate Reference System Project Deliverables Dewberry Production Workflow Diagram	2 2 3 3		
2.	Lidar	Acquisition Report	6		
	2.1 2.2 2.3 2.4 2.5 2.6 2.7 2.8 2.9	Acquisition Extents Acquisition Summary Boresight and Relative accuracy Lidar Acquisition and Processing Details Lidar System parameters Acquisition Static Control	6 8 9 0 0		
3.	Lidar	Processing & Qualitative Assessment1	1		
	3.1	Initial Processing1	1		
		3.1.1 Post Calibration Lidar Review	1		
	3.2	Data Classification and Editing1	3		
		3.2.1 Qualitative Review 1 3.2.2 Formatting Review 1	4 8		
4.	DEM	Processing & Qualitative Assessment1	9		
	4.1 4.2	DEM Production Methodology1 DEM Qualitative Assessment1	9 9		
5.	Derivative Lidar Products		20		
	5.1 5.2	Swath Separation Images	21 23		
		5.2.1 Intensity Quality Difference	23		
	5.3 5.4	Maximum Surface Height Rasters (MSHRs)	24 24		

ATTACHMENTS

Appendix A: GPS Processing Reports

9/16/2023

1. EXECUTIVE SUMMARY

The primary purpose of this project was to develop a consistent and accurate surface elevation dataset derived from high-accuracy light detection and ranging (lidar) technology for the Quality Level 1 CA SaltonSea Earth MRI 2021 D21 WUID 300258 project area.

Lidar data and derivative products produced in compliance with this task order are based on the "National Geospatial Program Lidar Base Specification v2.1. Lidar data were processed and classified according to project specifications. Detailed breaklines and bare-earth Digital Elevation Models were produced for the project area. Project components were formatted based on a tile grid with each tile covering an area 1,000 m by 1,000 m. A total of 15,484 tiles were produced for the project, providing approximately 5,818 sq. miles of coverage. A total of 8 tiles were produced for this work unit, providing approximately 6.24 sq. miles of coverage. 10 voids were identified that impacted 8 tiles that were within WUID300191. The 8 tiles were withheld from delivery, pending re-flights of the affected area. Dewberry re-flew the affected area in August 2023 to provide full data coverage for the project AOI, and these tiles are included in WUID 300258.

1.1 Project Team

Dewberry served as the prime contractor for the project. In addition to project management, Dewberry was responsible for LAS classification, all lidar products, breakline production, digital elevation model (DEM) production, and quality assurance.

Dewberry completed the ground survey for the project and delivered surveyed checkpoints. Ground control points and checkpoints were surveyed for the project. Ground control points were used in calibration activities and checkpoints were used in independent testing of the vertical accuracy of the lidar-derived surface model.

Dewberry completed lidar data acquisition and data calibration for the project area.

1.2 Project Area

The work unit area is shown in figure 1. This work unit contains 8 1,000 m by 1,000 m tiles. The project area tile grid contains 15,484 1,000 m by 1,000 m tiles.

CA_SaltonSea_EarthMRI_2021_D21 WUID 300258

140G0221F0268

9/16/2023



Figure 1. Work Unit 300258 Map

1.3 Coordinate Reference System

Data produced for the project are delivered in the following spatial reference system:

Horizontal Datum:	North American Datum of 1983 with the 2011 Adjustment (NAD 83 (2011))
Vertical Datum:	North American Vertical Datum of 1988 (NAVD88)
Geoid Model:	Geoid18
Coordinate System:	UTM Zone 11
Horizontal Units:	Meters
Vertical Units:	Meters

1.4 Project Deliverables

The deliverables for the project are as follows:

1. Project Extents (Esri SHP)

9/16/2023

- 2. Classified Point Cloud (tiled LAS)
- 3. Intensity Images (tiled, 8-bit gray scale, GeoTIFF format)
- 4. Bare Earth Surface (tiled raster DEM, GeoTIFF format)
- 5. Swath Separation Images
- 6. Metadata (XML)
- 7. Work Unit Report
- 8. Flightline Extents GDB
- 9. Maximum Surface Height Rasters (tiled raster MSHRs, GeoTIFF format)

1.5 Dewberry Production Workflow Diagram

The diagram below outlines Dewberry's standard lidar production workflow.



Figure 2. Dewberry's Lidar Production Workflow Diagram

9/16/2023

2. LIDAR ACQUISITION REPORT

Dewberry elected to acquire the lidar and calibrate the data in house.

2.1 Acquisition Extents

The figure below shows flightline vectors by lift and the WUID 300258 tile grid.



Figure 3. Work unit 300258 swaths

9/16/2023

2.2 Acquisition Summary

Dewberry monitored weather and atmospheric conditions and conducted lidar missions only when no conditions exist below the sensor that will affect the collection of data. These conditions include leaf-off for hardwoods, no snow, rain, fog, smoke, mist and low clouds. Lidar systems are active sensors, not requiring light, thus missions may be conducted during night hours when weather restrictions do not prevent collection. Dewberry accesses reliable weather sites and indicators (webcams) to establish the highest probability for successful collection in order to position our sensor to maximize successful data acquisition. Acquisition started in early November 2021 but was on-going until late November 2021. Additionally, the flight crew constantly reviewed weather and cloud locations. Any flight lines impacted by unfavorable conditions were marked as invalid and re-flown immediately or at an optimal time. Voids caused by cliff shadow and called out by USGS were re-flown by Dewberry on August 4, 2023. Dewberry acquired the data using a Riegl 1560 II-s lidar sensor.

2.3 Boresight and Relative accuracy

Prior to the Salton Sea Acquisition Dewberry completed a sensor boresight on 10/12/21 in Tampa, FL. The boresight consisted of multiple opposing lines in an E-W direction as well as multiple opposing lines in a N-S direction. The swaths have a large overlap (>60%) with neighbors. The trajectory (.sbet) was processed using Applanix PosPac and raw swath data (.las) was produced using Riegl RiProcess. The boresight was calibrated and then analyzed. All deemed necessary corrections are then applied to the senor orientation internal files.



Figure 4. SBET Generation using Riegl RiProcess

9/16/2023

2.4 Lidar Acquisition and Processing Details

Table 1 outlines lidar acquisition details, including the project spatial reference system, and processing software used for this project.

Parameter	Value
Number of Flight lines	23
Approximate Area	6.24 sq. miles
Acquisition Dates	November 4, 2021-November 30, 2021, August 4, 2023
Horizontal Datum	North American Datum of 1983 (NAD83)
Vertical Datum	North American Vertical Datum of 1988 (NAVD88)
Geoid Model	Geoid18
Coordinate Reference System	UTM Zone 11
Horizontal Units	Meters
Vertical Units	Meters
Kinematic Solution Processing Software:	Applanix Pospac
Point Cloud Generation Software	Riegl RiProcess
Calibration Software	BayesMap StripAlign

Table 1. Lidar acquisition details

2.5 Lidar System parameters

Dewberry operated a Cessna 208B (Tail # N119RF) and a Cessna 208 (Tail # N167PM), both outfitted with a Riegl 1560 II-s lidar system during data collection. Table 2 details the lidar system parameters used during acquisition for this project.

Parameter	Value
System	Riegl 1560 II-s
Maximum Number of Returns per pulse	7
Nominal Pulse Spacing (single swath, (m)	0.35
Nominal Pulse Density (single swath) (ppsm), (m)	8
Aggregate NPS (m) (if ANPS was designed to be met through	0.25
single coverage, ANPS and NPD will be equal)	0.55
Aggregate NPD (m) (if ANPD was designed to be met	8
through single coverage, ANPD and NPD will be equal)	0
Altitude (AGL m)	1,700
Approx. Flight Speed (knots)	160
Total Sensor Scan Angle (degree)	58.5
Scan Frequency (hz)	360
Scanner Pulse Rate (kHz)	3200

Table 2. Dewberry lidar system parameters.

9/16/2023

Parameter	Value
Pulse Duration of the Scanner (nanoseconds)	3
Pulse Width of the Scanner (m)	.9
Central Wavelength of the Sensor Laser (nanometers)	1064
Did the Sensor Operate with Multiple Pulses in the Air? (yes/no)	yes
Beam Divergence (milliradians)	0.17
Nominal Swath Width on the Ground (m)	1912
Swath Overlap (%)	20
Computed Down Track Spacing (m) per beam	0.73
Computed Cross Track Spacing (m) per beam	0.73

2.6 Acquisition Static Control

Dewberry utilized Applanix's Post-processed RTX (PP-RTX) module for the static control. Using the precise data derived from the real-time CenterPoint® RTX system, a new high-accuracy post-processed RTX-Aided inertial processing method has been developed for POSPac MMS, enabling robust, cm level positioning to be achieved for mobile mapping without reference stations. The PP-RTX implementation in POSPac is comprised of three components:

- 1. A web-based service that provides the CenterPoint® RTX information along the rover trajectory to be post-processed.
- 2. A QC step that processes the information from the service with the raw rover observables in forward and reverse time to generate the convergence-free PP-RTX GNSS solution
- 3. Generation of the final RTX-Aided Inertial navigation solution using a Kalman filter and optimal smoother processing.

2.7 ABGNSS-Inertial Processing

ABGNSS-Inertial processing was performed using the software identified in Table 1. Appendix A contains additional mission GPS and IMU processing covering:

- - Pospac graphics and processing
 - Graphics of any reference stations used for differential correction
 - Graphics of processing interface to show trajectory data and labeled reference stations for each lift (only graphics of trajectory when precise point position is used).
 - Graphics of processed plots for each mission/flight/lift to include:
 - 1. Forward/reverse separation of trajectory
 - 2. Estimated accuracy of trajectory
 - 3. Any additional plots used in the analyses of trajectory quality

2.8 Calibration Process (Project Mission Calibration)

Lidar mission flight trajectories were combined with raw point files in Riegl RiProcess. The initial points (.las) for each mission calibration were inspected for flight line errors, spatial distribution, data voids, density, or issues with the lidar sensor. If a calibration error greater than specification was observed within the mission, the

CA_SaltonSea_EarthMRI_2021_D21 WUID 300258

140G0221F0268

9/16/2023

necessary roll, pitch, and scanner scale corrections were calculated, and corrections were applied to each individual swath using the BayesMap StripAlign software. In addition, all GPS, aircraft trajectory, mission information, and ground control files were reviewed and logged into a database. The missions with the new calibration values were regenerated and validated internally once again to ensure quality.

For this project the specifications used are as follow: Relative accuracy <= 6 cm maximum differences within individual swaths and <= 8 cm RMSDz between adjacent and overlapping swaths.

2.9 Final Calibration Verification

No GCPs fall within WUID 300258. A full list of GCPs used for accuracy testing is included in the GCP Survey Report provided with project deliverables.

3. LIDAR PROCESSING & QUALITATIVE ASSESSMENT

3.1 Initial Processing

Dewberry performed vertical accuracy validation of the swath data, inter-swath relative accuracy validation, intra-swath relative accuracy validation, verification of horizontal alignment between swaths, and confirmation of point density and spatial distribution. This initial assessment allowed Dewberry to determine whether the data was suitable for full-scale production.

3.1.1 Post Calibration Lidar Review

The table below identifies requirements verified by Dewberry prior to tiling the swath data, running initial ground macros, and starting manual classification.

Requirement	Description of Deliverables	Additional Comments
Non-vegetated vertical accuracy (NVA) of the swath data meet required specifications of 19.6 cm at the 95% confidence level based on RMSEz (10 cm) x 1.96	The swath NVA was tested and passed specifications.	None
The NPD/NPS (or Aggregate NPD/Aggregate NPS) meets required specification of 8 ppsm or 0.35 m NPS. The NPD (ANPD) is calculated from first return points only.	The average calculated NPS of this project is 0.21 m. Density raster visualization also passed specifications.	None
Spatial Distribution requires 90% of the project grid, calculated with cell sizes of	99% of cells (2*NPS cell size) had at least 1 lidar point within the cell.	None

Table 3. Post calibration and initial processing data verification steps

Requirement	Description of Deliverables	Additional Comments	
2*NPS, to contain at least one lidar point. This is calculated from first return			
Within swath (Intra-swath or hard surface repeatability) relative accuracy must meet ≤ 6 cm maximum difference	Within swath relative accuracy passed specification.	None	
Between swath (Inter-swath or swath overlap) relative accuracy must meet 8 cm RMSDz/16 cm maximum difference. These thresholds are tested in open, flat terrain.	Between swath relative accuracy passed specification, calculated from single return lidar points.	None	
Horizontal Calibration-There should not be horizontal offsets (or vertical offsets) between overlapping swaths that would negatively impact the accuracy of the data or the overall usability of the data. Assessments made on rooftops or other hard planar surfaces where available.	Horizontal calibration met project requirements.	None	
Ground Penetration-The missions were planned appropriately to meet project density requirements and achieve as much ground penetration beneath vegetation as possible	Ground penetration beneath vegetation was acceptable.	None	
Sensor Anomalies-The sensor should perform as expected without anomalies that negatively impact the usability of the data, including issues such as excessive sensor noise and intensity gain or range-walk issues	No sensor anomalies were present.	None	
Edge of Flight line bits-These fields must show a minimum value of 0 and maximum value of 1 for each swath acquired, regardless of which type of sensor is used	Edge of Flight line bits were populated correctly	None	
Scan Direction bits-These fields must show a minimum value of 0 and maximum value of 1 for each swath acquired with sensors using oscillating (back-and-forth) mirror scan mechanism. These fields should show a minimum and maximum of 0 for each	Scan Direction bits were populated correctly	None	

9/16/2023

Requirement	Description of Deliverables	Additional Comments
swath acquired with Riegl sensors as these sensors use rotating mirrors.		
Swaths are in LAS v1.4 formatting	Swaths were in LAS v1.4 as required by the project.	None
All swaths must have File Source IDs assigned (these should equal the Point Source ID or the flight line number)	File Source IDs were correctly assigned	None
GPS timestamps must be in Adjusted GPS time format and Global Encoding field must also indicate Adjusted GPS timestamps	GPS timestamps were Adjusted GPS time and Global Encoding field were correctly set to 17	None
Intensity values must be 16-bit, with values ranging between 0-65,535	Intensity values were 16-bit	None
Point Source IDs must be populated and swath Point Source IDs should match the File Source IDs	Point Source IDs were assigned and match the File Source IDs	None

3.2 Data Classification and Editing

Once the calibration, absolute swath vertical accuracy, and relative accuracy of the data were confirmed, Dewberry utilized proprietary and TerraScan software for processing. The acquired 3D laser point clouds were tiled according to the project tile grid using proprietary software. Once tiled, the laser points were classified using a proprietary routine in TerraScan. This routine classified any obvious low outliers in the dataset to class 7 and high outliers in the dataset to class 18. Points along flight line edges that may be geometrically unusable were flagged as withheld and classified to a separate class so that they would be excluded from the initial ground algorithm. After points that could negatively affect the ground were removed from class 1, the ground layer was extracted from this remaining point cloud using an iterative surface model.

This surface model was generated using four main parameters: building size, iteration angle, iteration distance, and maximum terrain angle. The initial model was based on low points being selected by a "roaming window" with the assumption that these were the ground points. The size of this roaming window was determined by the building size parameter. The low points were triangulated and the remaining points were evaluated and subsequently added to the model if they met the iteration angle and distance constraints. This process was repeated until no additional points were added within iterations. Points that did not relate to classified ground within the maximum terrain angle were not captured by the initial model.

After the initial automated ground routine, each tile was imported into TerraScan and a surface model was created to examine the ground classification. Dewberry analysts visually reviewed the ground surface model and corrected errors in the ground classification such as vegetation, buildings, and bridges that were present following the initial processing. Dewberry analysts employed 3D visualization techniques to view the point cloud

9/16/2023

at multiple angles and in profile to ensure that non-ground points were removed from the ground classification. Bridge decks were classified to class 17 and bridge saddle breaklines were used where necessary. After the ground classification corrections were completed, the dataset was processed through a water classification routine that utilized breaklines to automatically classify hydro features. The water classification routine selected ground points within the breakline polygons and automatically classified them as class 9, water. During this water classification routine, points that were within 1 NPS distance or less of the hydrographic feature boundaries were moved to class 20, ignored ground, to avoid hydro-flattening artifacts along the edges of hydro features.

The withheld bit was set on the withheld points previously identified in TerraScan before the ground classification routine was performed. The withheld bit was set on points classified as noise (classes 7 and 18) after manual clean-up.

After manual classification, the LAS tiles were peer reviewed and then underwent a final independent QA/QC. After the final QA/QC and corrections, all headers, appropriate point data records, and variable length records, including spatial reference information, were updated and verified using proprietary Dewberry software.

3.2.1 Qualitative Review

Dewberry's qualitative assessment of lidar point cloud data utilized a combination of statistical analyses and visual interpretation. Methods and products used in the assessment included profile- and map view-based point cloud review, pseudo image products (e.g., intensity orthoimages), TINs, DEMs, DSMs, and point density rasters. This assessment looked for incorrect classification and other errors sourced in the LAS data. Lidar data are peer reviewed, reviewed by task leads (senior level analysts), and verified by an independent QA/QC team at key points within the lidar workflow.

The following table describes Dewberry's standard editing and review guidelines for specific types of features, land covers, and lidar characteristics.

Category	Editing Guideline	Additional Comments
No Data Voids	The SOW for the project defines unacceptable data voids as voids greater than 4 x ANPS ² , or 1.96 m ² , that are not related to water bodies or other areas of low near-infrared reflectivity and are not appropriately filled by data from an adjacent swath. The LAS files were used to produce density grids based on Class 2 (ground) points for review.	No unacceptable voids were identified in this dataset

Table 4	Lidar	editing	and	review	guidelines
---------	-------	---------	-----	--------	------------

Category	Editing Guideline	Additional Comments
Artifacts	Artifacts in the point cloud are typically caused by misclassification of points in vegetation or man-made structures as ground. Low-lying vegetation and buildings are difficult for automated grounding algorithms to differentiate and often must be manually removed from the ground class. Dewberry identified these features during lidar editing and reclassified them to Class 1 (unassigned). Artifacts up to 0.3 m above the true ground surface may have been left as Class 2 because they do not negatively impact the usability of the dataset.	None
Bridge Saddles	The DEM surface models are created from TINs or terrains. TIN and terrain models create continuous surfaces from the input points, interpolating surfaces beneath bridges where no lidar data was acquired. The surface model in these areas tend to be less detailed. Bridge saddles may be created where the surface interpolates between high and low ground points. Dewberry identifies problems arising from bridge removal and resolves them by reclassifying misclassified ground points to class 1 and/or adding bridge saddle breaklines where applicable due to interpolation.	None
Culverts and Bridges	It is Dewberry's standard operating procedure to leave culverts in the bare earth surface model and remove bridges from the model. In instances where it is difficult to determine whether the feature was a culvert or bridge, Dewberry errs on the side of culverts, especially if the feature is on a secondary or tertiary road.	None

Category	Editing Guideline	Additional Comments
In-Ground Structures	In-ground structures typically occur on military bases and at facilities designed for munitions testing and storage. When present, Dewberry identifies these structures in the project and includes them in the ground classification.	No in-ground structures present in this dataset
Dirt Mounds	Irregularities in the natural ground, including dirt piles and boulders, are common and may be misinterpreted as artifacts that should be removed. To verify their inclusion in the ground class, Dewberry checked the features for any points above or below the surface that might indicate vegetation or lidar penetration and reviews ancillary layers in these locations as well. Whenever determined to be natural or ground features, Dewberry edits the features to class 2 (ground)	No dirt mounds or other irregularities in the natural ground were present in this dataset
Irrigated Agricultural Areas	Per project specifications, Dewberry collected all areas of standing water greater than or equal to 0.8 hectare, including areas of standing water within agricultural areas and not within wetland or defined waterbody, hydrographic, or tidal boundaries. Areas of standing water that did not meet the 0.8 hectare size criteria were not collected.	Standing water within agricultural areas not present in the data
Wetland/Marsh Areas	Vegetated areas within wetlands/marsh areas are not considered water bodies and are not hydroflattened in the final DEMs. However, it is sometimes difficult to determine true ground in low wet areas due to low reflectivity. In these areas, the lowest points available are used to represent ground, resulting in a sparse and variable ground surface. Open water within wetland/marsh areas greater than or equal to 0.8 hectare is collected as a waterbody.	No marshes present in the data

Category	Editing Guideline	Additional Comments
Flight Line Ridges	Flight line ridges occur when there is a difference in elevation between adjacent flight lines or swaths. If ridges are visible in the final DEMs, Dewberry ensures that any ridges remaining after editing and QA/QC are within project relative accuracy specifications.	No flight line ridges are present in the data
Temporal Changes	If temporal differences are present in the dataset, the offsets are identified with a shapefile.	Temporal polygons are provided to delineate temporal offsets
Low NIR Reflectivity	Some materials, such as asphalt, tars, and other petroleum-based products, have low NIR reflectivity. Large-scale applications of these products, including roadways and roofing, may have diminished to absent lidar returns. USGS LBS allow for this characteristic of lidar but if low NIR reflectivity is causing voids in the final bare earth surface, these locations are identified with a shapefile.	No Low NIR Reflectivity is present in the data
Laser Shadowing	Shadows in the LAS can be caused when solid features like trees or buildings obstruct the lidar pulse, preventing data collection on one or more sides of these features. First return data is typically collected on the side of the feature facing toward the incident angle of transmission (toward the sensor), while the opposite side is not collected because the feature itself blocks the incoming laser pulses. Laser shadowing typically occurs in areas of single swath coverage because data is only collected from one direction. It can be more pronounced at the outer edges of the single coverage area where higher scanning angles correspond to more area obstructed by features. Building shadow in particular can be more pronounced in urban areas where	No Laser Shadowing is present in the data

9/16/2023

Category	Editing Guideline	Additional Comments
	structures are taller. Data are edited to	
	the fullest extent possible within the	
	point cloud. As long as data meet other	
	project requirements (density, spatial	
	distribution, etc.), no additional action	
	taken.	

3.2.2 Formatting Review

After the final QA/QC was performed and all corrections were applied to the dataset, all lidar files were updated to the final format requirements and the final formatting, header information, point data records, and variable length records were verified using proprietary tools. The table below lists the primary lidar header fields that are updated and verified.

Parameter	Project Specification	Pass/Fail
LAS Version	1.4	Pass
Point Data Record Format	6	Pass
Horizontal Coordinate Reference System	NAD83 (2011) UTM Zone 11, meters in WKT format	Pass
Vertical Coordinate Reference System	NAVD88 (Geoid18), meters in WKT format	Pass
Global Encoder Bit	17 for adjusted GPS time	Pass
Time Stamp	Adjusted GPS time (unique timestamps)	Pass
System ID	Sensor used to acquire data	Pass
Multiple Returns	The sensor shall be able to collect multiple returns per pulse and the return numbers are recorded	Pass
Intensity	16-bit intensity values recorded for each pulse	Pass
Classification	Class 1: Unclassified Class 2: Ground Class 7: Low Noise Class 18: High Noise	Pass
Withheld Points	Withheld bits set for geometrically unreliable points and for noise points in classes 7 and 18	Pass

Table 5. Classified lidar formatting parameters

9/16/2023

Parameter	Project Specification	Pass/Fail
Scan Angle	Recorded for each pulse	Pass
XYZ Coordinates	Recorded for each pulse	Pass

4. DEM PROCESSING & QUALITATIVE ASSESSMENT

4.1 DEM Production Methodology

Dewberry utilized LP360 to generate DEM products and both ArcGIS and Global Mapper for QA/QC.

The final classified lidar points in all bare earth classes were loaded into LP360 along with the final 3D breaklines and the project tile grid. A raster was generated from the lidar data with breaklines enforced and clipped to the project tile grid. The DEM was reviewed for any issues requiring corrections, including remaining lidar misclassifications, erroneous breakline elevations, incorrect or incomplete hydro-flattening or hydro-enforcement, and processing artifacts. The formatting of the DEM tiles was verified before the tiles were loaded into Global Mapper to ensure that there was no missing or corrupt data and that the DEMs matched seamlessly across tile boundaries. A final qualitative review was then conducted by an independent review department within Dewberry.

4.2 DEM Qualitative Assessment

Dewberry performed a comprehensive qualitative assessment of the bare earth DEM deliverables to ensure that all tiled DEM products were delivered with the proper extents, were free of processing artifacts, and contained the proper referencing information. Dewberry conducted the review in ArcGIS using a hillshade model of the full dataset with a partially transparent colorized elevation model overlaid. The tiled DEMs were reviewed at a scale of 1:5,000 to look for artifacts caused by the DEM generation process and to verify correct and complete hydro-flattening and hydro-enforcement. Upon correction of any outstanding issues, the DEM data was loaded into Global Mapper for its second review and to verify corrections.

The table below outlines high level steps verified for every DEM dataset.

Parameter	Requirement	Pass/Fail
Digital Elevation Model (DEM) of bare-earth w/ breaklines	DEM of bare-earth terrain surface (0.5m) is created from lidar ground points and breaklines. DEMs are tiled without overlaps or gaps, show no edge artifact or mismatch, DEM deliverables are .tif format	Pass
DEM Compression	DEMs are not compressed	Pass

Table 6. DEM verification steps

9/16/2023

Parameter	Requirement	Pass/Fail
DEM NoData	Areas outside survey boundary are coded as NoData. Internal voids (e.g., open water areas) are coded as NoData (-999999)	Pass
Hydro-flattening	Ensure DEMs were hydro-flattened or hydro-enforced as required by project specifications	NA
Monotonicity	Verify monotonicity of all linear hydrographic features	Pass
Breakline Elevations	Ensure adherence of breaklines to bare- earth surface elevations, i.e., no floating or digging hydrographic feature	Pass
Bridge Removal	Verify removal of bridges from bare- earth DEMs and no saddles present	Pass
DEM Artifacts	Correct any issues in the lidar classification that were visually expressed in the DEMs. Reprocess the DEMs following lidar corrections.	Pass
DEM Tiles	Split the DEMs into tiles according to the project tiling scheme	Pass
DEM Formatting	Verify all properties of the tiled DEMs, including coordinate reference system information, cell size, cell extents, and that compression is not applied to the tiled DEMs. GDAL version 2.4.0 used for all DEM formatting.	Pass
DEM Extents	Load all tiled DEMs into Global Mapper and verify complete coverage within the (buffered) project boundary and verify that no tiles are corrupt	Pass

5. DERIVATIVE LIDAR PRODUCTS

USGS required several derivative lidar products to be created. Each type of derived product is described below.

9/16/2023

5.1 Swath Separation Images

Dewberry verified inter-swath or between swath relative accuracy of the dataset by generating swath separation images in conjunction with interswath polygons. Color-coding is used to help visualize elevation differences between overlapping swaths. Pixels that do not contain points from overlapping flight lines are colored according to their intensity values.

The swath separation images are symbolized by the following ranges:

- 0-8 cm: Green
- 8-16 cm: Yellow
- >16 cm: Red

Areas of vegetation and steep slopes (slopes with 16 cm or more of valid elevation change across one raster pixel) are expected to appear yellow or red in the SSIs. Flat, open areas are expected to be green in the SSIs. Large or continuous sections of yellow or red pixels following flight line patterns and not the terrain or vegetation can indicate the data was not calibrated correctly or that there were issues during acquisition that could affect the usability of the data.

Dewberry generated swath separation images using LP360 software. These images were created from the last return of all points except points classified as noise and/or flagged as withheld. Point Insertion was used as the Surface Method and the cell size was set to the deliverable DEM cell size. The three interval bins used are bulleted above and the parameter to "Modulate source differences by Intensity" was set to 50%. The output GeoTIFF rasters are tiled to the project tile grid, clipped to the master DPA, and formatted (including defining the CRS which matches the project CRS) using GDAL software, version 2.4.0. The image below shows the generated SSIs for this work unit.

9/16/2023



Figure 5 Swath Separation Images (SSIs) generated for this work unit

statistics. Polygons that intersect large waterbodies are removed from the final results, as these are not reliable test locations.

The result of the process is a shapefile of test polygons with their test values, distributed in all of the overlapping areas across the project area. These polygons are then reviewed for any systematic interswath errors that should be considered of concern.

9/16/2023

5.2 Intensity Images

The intensity imagery was created from the point cloud intensity values of first returns from all point classes except for noise (classes 7 and 18) and points flagged as withheld were used to create the raster. The review of the intensity imagery included looking for anomalous intensity values, voids, and processing artifacts.

5.2.1 Intensity Quality Difference

Due to the data being acquired at different times of the year, the re-flown data has a higher ground density due to vegetation generally being more leaf-off. The re-flown data meets all required density and quality requirements.



Figure 6 Higher ground density is apparent in the re-flown portion of the redelivered tile.

9/16/2023

5.3 Maximum Surface Height Rasters (MSHRs)

MSHRs are delivered as tiled GeoTIFFs (32-bit, floating point), with the tile size and naming convention matching the project tile grid, tiled point cloud, and tiled DEM deliverables. MSHRs are provided as proof of performance that Dewberry's withheld bit flag has been properly set on all points, including noise, which are not deemed valid returns and which should be excluded from all derivative product development. All points, all returns, excluding points flagged as withheld, are used to produce MSHRs. The rasters are produced with a binning method in which the highest elevation of all lidar points intersecting each pixel is applied as the pixel elevation in the resulting raster. Final MSHRs are formatted using GDAL software version 2.4.0, spatially defined to match the project CRS, and the cell size equals the deliverable DEM cell size (unless lidar density at the defined DEM cell size is insufficient for MSHR analysis and then a larger cell size for the MSHRs may be used). Prior to delivery, all MSHRs are reviewed for complete coverage, correct formatting, and any remaining point cloud misclassifications specifically in regard to the use of the withheld bit.

5.4 Flightline Extents GDB

Flightline extents are delivered as polygons in an Esri GDB, delineating actual coverage of each swath used in the project deliverables. Dewberry delivered this GDB using USGS's provided template so that each polygon contains the following attributes:

- Lift/Mission ID (unique per lift/mission)
- Point Source ID (unique per swath)
- Type of Swath (project, cross-tie, fill-in, calibration, or other)
- Start time in adjusted GPS seconds
- End time in adjusted GPS seconds

Prior to delivery, a final flightline GDB is created from the final, tiled point cloud deliverables to ensure all correct swaths are represented in the flightline GDB. The flightline GDB is then reviewed for complete coverage and correct formatting.